

USDA Good Agricultural Practices Audit Verification Checklist



This program is intended to assess a participant's efforts to minimize the risk of contamination of fresh fruits, vegetables, nuts and miscellaneous commodities by microbial pathogens based on the U.S. Food and Drug Administration's "Guide to Minimize Microbial Food Safety Hazards for Fresh Fruits and Vegetables," and generally recognized good agricultural practices.

AUDITEE INFORMATION

Company Name: <u>Borton & Sons, Inc.</u>	
Audit Location Address	GPS (Optional):
Street: <u>2300 Ahtanum Rd.</u>	City, State, Zip: <u>Yakima, Washington 98903</u>
Multiple sites covered by this audit? (If Yes, provide details in Additional Comments)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Mailing/Business Address <input checked="" type="checkbox"/> Same as above	Federal Account Number: <u>3425608</u>
Street:	City, State, Zip:
Company Contact: <u>Leo Gonzalez</u>	Contact Title: <u>Director of Compliance and Safety</u>
Phone Number: <u>(509) 907-0413</u>	Fax Number: <u>N/A</u>
E-Mail Address: <u>leo.gonzalez@bortonfruit.com</u>	
Company uses USDA GAP Logo on packaging or marketing materials?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Is this company currently subject to the Produce Safety Rule (21 CFR Part 112)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

AUDIT INFORMATION

Date & Time of Audit	Beginning Date: <u>June 18, 2025</u> Time: <u>8:00 AM</u>
	Ending Date: <u>June 18, 2025</u> Time: <u>12:00 PM</u>
Description of Operation:	<u>Fruit Packing and Storage Facilities</u>
Harvest Company Name (if applicable):	<u>N/A</u>
Other Contractors:	<u>Aweta, Chem Station, Double Kold, Eurofins, Sprague, U.S. Syntec</u>
Commodities Covered by Audit:	<u>Apples, Cherries, Pears</u>
Commodities Produced During Audit:	<u>Apples and Cherries</u>
Total Acres Covered by Audit:	<u>N/A</u>
Total Square Feet Covered by Audit:	<u>750,000-Production, 850,000-Storage</u>

AUDITOR INFORMATION

United States Department of Agriculture Agricultural Marketing Service Specialty Crops Program Specialty Crops Inspection Division	Field Office: <u>Yakima, Washington</u>
	Auditor Name(s): <u>Derek Prescott</u>

OTHER INFORMATION

Person(s) Interviewed:	<u>Andrea Gonzalez Alvarado, Rosa Alvardao, Graciela Avila, Eric Borton, Matt Borton, Itzayana Chavira, Elermina Cortez, Kayla Delgado, Alberto Garcia, Leo Gonzalez, cont.</u>
Audit Requested by:	<u>Leo Gonzalez</u>
Distribute Audit Report to*(if known):	

**Supplying names of retail and food service buyers is not mandatory, however it is useful to know in the event the buyer requires USDA-AMS to send a copy of the audit report directly. No audit results are sent to a 3rd party without the written consent of the auditee.*

Did the auditee participate in GAP training?

Yes

No

Is there a map that accurately represents the farm and/or facility operations?

Yes

No

N/A

Does the company have more than one packing facility?

Yes

No

N/A

Is there a floor plan of the packing house facility(s) indicating flow of product, storage areas, cull areas, employee break rooms, restrooms, offices?

Yes

No

N/A

Is any product commingled prior to packing?

Yes

No

Audit Scope: (Please check all scopes audited)

General Questions (All audits must begin with and pass this portion)

Part 1 – Farm Review.....	<input type="checkbox"/>
Part 2 - Field Harvest and Field Packing Activities.....	<input type="checkbox"/>
Part 3 - House Packing Facility.....	<input checked="" type="checkbox"/>
Part 4 – Storage and Transportation.....	<input checked="" type="checkbox"/>
Part 5 – Preventive Food Defense Procedures.....	<input checked="" type="checkbox"/>
Logo Use Addendum.....	<input type="checkbox"/>

ADDITIONAL COMMENTS

Person(s) Interviewed cont. Henri Lucatero, Alfredo Montoya, Marielena Ortiz, Eliseo Parilla, Maria Rodriguez, Jose Guzman Sanchez, Carina Sanchez, Rosalina Rosas Vasquez, Adrian Vasquez

Audit Location: 2300 Ahtanum Road, 2550 Borton Road, Yakima, Washington 98903, 1151 Cutler Way, Zillah, Washington 98953.

Conditions Under Which an Automatic "Unsatisfactory" Will be Assessed:

- An immediate food safety risk is present when produce is grown, processed, packed or held under conditions that promote or cause the produce to become contaminated.
- The presence or evidence of rodents, an excessive amount of insects or other pests in the produce during packing, processing or storage of produce.
- Observation of employee practices (personal or hygienic) that have jeopardized or may jeopardize the safety of the produce.
- Falsification of records.
- Answering of Questions P1 or P2 as "NO".

Auditor Completion Instructions

- For clarification and guidance in answering these questions, please refer to the Good Agricultural Practices & Good Handling Practices Audit Verification Program Policy and Instruction Guide.
- Farm/Facility must be in operation during audit. This means for a farm audit, perform the audit while the crop is actively being grown, a field harvest/field harvesting activities audit shall be performed while the product is being harvested, etc.
- Place the point value for each question in the proper column (Yes, No, or N/A).
- Gray boxes in the "N/A" column indicate that question cannot be answered "N/A".
- Any "N/A" or "No" designation must be explained in the comments section.
- The "**Doc**" column:
 - A "**D**" indicates that a document(s) is required to show conformance to the question. A document may be a combination of standard operating procedures outlining company policy as well as a record indicating that a particular action was taken.
 - A "**R**" indicates that a record is required to be kept showing an action was taken.
 - A "**P**" indicates that a policy/standard operating procedure (SOP) must be documented in the food safety plan in order to show conformance to the question.

For further information regarding the USDA GAP Audit Program, please contact:

**USDA Specialty Crops Program, Specialty Crops Inspection Division, Audit Services Branch at
202-720-5021, or SCAudits@usda.gov**



General Questions

Implementation of a Food Safety Program

Questions		Points	Yes	NO	N/A	Doc
P-1	A documented food safety program that incorporates GAP has been implemented.		✓			D
P-2	The operation has designated someone to implement and oversee an established food safety program. Name: <i>Leo Gonzalez, Henri Lucatero</i>		✓			D

Traceability

Questions		Points	Yes	NO	N/A	Doc
G-1	A documented traceability program has been established.	15	15			D
G-2	The operation has performed a "mock recall" that was proven to be effective. <i>Mock recall was performed March 27, 2025, for Pink Lady apples in Yakima at the apple warehouse and was proven to be effective.</i>	10	10			R

Worker Health & Hygiene

Questions		Points	Yes	NO	N/A	Doc
G-3	Drinking water is available to all workers. <i>Potable water is tested monthly by Borton and Sons, Inc. Test by Eurofins Cascade Analytical and Microbiology Laboratories, Inc. in Union Gap, Washington continued below...</i>	10	10			R
G-4	All employees and all visitors to the location are required to follow proper sanitation and hygiene practices.	10	10			P
G-5	Training on proper sanitation and hygiene practices is provided to all staff. <i>Training packet is distributed to incoming staff on hire date and training is conducted monthly at both packing facilities. Continued below...</i>	15	15			D
G-6	Employees and visitors are following good hygiene/sanitation practices.	15	15			
G-7	Employees who handle or package produce are washing their hands before beginning or returning to work.	15	15			

Questions		Points	Yes	NO	N/A	Doc
G-8	Readily understandable signs are posted to instruct employees to wash their hands before beginning or returning to work.	10	10			
	<i>Employees must wash hands before returning to work signs posted throughout operation in appropriate places.</i>					
G-9	All toilet/restroom/field sanitation facilities are clean. They are properly supplied with single use towels, toilet paper, hand soap or anti-bacterial soap, and water for hand washing meets the microbial standards for drinking water.	15	15			
G-10	All toilet/restroom/field sanitation facilities are serviced and cleaned on a scheduled basis.	10	10			R
	<i>Facility restrooms are cleaned every day while warehouse is in operation and records are maintained. Sanitation units around warehouse properties are cleaned by Valley Septic twice a week or as needed.</i>					
G-11	Smoking and eating are confined to designated areas separate from where product is handled.	10	10			P
	<i>Smoking is permitted 30 feet from entrance to facility. Food is consumed on breaks or lunch in lunchroom, at picnic tables outside or in employee vehicles away from packing operation.</i>					
G-12	Workers with diarrheal disease or symptoms of other infectious diseases are prohibited from handling fresh produce.	15	15			P
G-13	There is a policy describing procedures which specify handling/disposition of produce or food contact surfaces that have come into contact with blood or other bodily fluids.	15	15			P
	<i>All contaminated produce will be disposed of in garbage and any food contact surface will be cleaned with 4089 chlorinated sanitizing solution.</i>					
G-14	Workers are instructed to seek prompt treatment with clean first aid supplies for cuts, abrasions and other injuries.	5	5			P
G-15	Company personnel or contracted personnel that apply regulated pre-harvest and/or post-harvest materials are licensed. Company personnel or contracted personnel applying non-regulated materials have been trained on its proper use.	10	10			R
	<i>Training is conducted yearly by Chem Station and U.S. Syntec for employees applying non-regulated materials and records are maintained.</i>					

Additional Comments:
<i>G-3 continued...concluded satisfactory drinking water results from Zillah sample on May 29, 2025, and Yakima sample June 5, 2025.</i>
<i>G-5 continued... Reviewed records from January 21, 2025. Topics discussed include good manufacturing practices, dress code update and personal hygiene.</i>

Total Points earned for General Questions =	<u>180</u>	
Total Possible	=	<u>180</u> <i>The total number of points possible for this section.</i>
Subtract "N/A"	=	<u>0</u> <i>Enter the additive number of N/A points (+points) here.</i>
Adjusted Total	=	<u>180</u> <i>Subtract the N/A points from the Total possible points</i>
X .8 (80%)		<i>Multiply the Adjusted Total by .8 and show it as the Passing Score</i>
Passing Score	=	<u>144</u>
<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail	(please mark one)

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Part 3 - HOUSE PACKING FACILITY

Receiving

Questions		Points	Yes	NO	N/A	Doc
3-1	Product delivered from the field which is held in a staging area prior to packing or processing is protected from possible contamination.	5	5			
3-2	Prior to packing, product is properly stored and/or handled in order to reduce possible contamination.	5	5			

Washing/Packing Line

Questions		Points	Yes	NO	N/A	Doc
3-3	Source water used in the packing operation meets the microbial standards for drinking water.	15	15			R
	<i>Source water on site is tested monthly by Eurofins Scientific and meet microbial standards for drinking water. Reviewed water test from June 5, 2025, in Yakima and May 29, 2025, in Zillah. Continued below...</i>					
3-4	If applicable, the temperature of processing water used in dump tanks, flumes, etc., is monitored and is kept at temperatures appropriate for the crop.	10	10			D
3-5	Processing water is sufficiently treated to reduce microbial contamination.	10	10			D
	<i>Processing water is sufficiently treated with chlorine tablets to reduce microbial contamination.</i>					
3-6	Water-contact surfaces, such as dump tanks, flumes, wash tanks and hydro coolers, are cleaned and/or sanitized on a scheduled basis.	10	10			D
	<i>Dump tanks and flumes are cleaned twice a week or as needed, and records are maintained.</i>					
3-7	Water treatment (strength levels and pH) and exposure time is monitored and the facility has demonstrated it is appropriate for the product.	10	10			D

Questions		Points	Yes	NO	N/A	Doc
3-8	Food contact surfaces are in good condition; cleaned and/or sanitized prior to use and cleaning logs are maintained.	15	15			D
3-9	Product flow zones are protected from sources of contamination.	10	10			
3-10	The water used for cooling and/or making ice meets the microbial standards for drinking water.	15	15			R
	<i>Source water is used to cool cherries during the packing process. Source water from the well on site is tested monthly by Eurofins Cascade Analytical and meet microbial standards for drinking water.</i>					
3-11	Any ice used for cooling produce is manufactured, transported and stored under sanitary conditions.	10			10	R
	<i>Ice is not used in the cooling of products at these facilities.</i>					

Packing House Worker Health & Hygiene

Questions		Points	Yes	NO	N/A	Doc
3-12	Employee facilities (locker rooms, lunch and break areas, etc.) are clean and located away from packing area.	10	10			
3-13	When there is a written policy regarding the use of hair nets/beard nets in the production area, it is being followed by all employees and visitors.	5	5			P
3-14	When there is a written policy regarding the wearing of jewelry in the production area, it is being followed by all employees and visitors.	5	5			P

Packing House General Housekeeping

Questions		Points	Yes	NO	N/A	Doc
3-15	Only food grade approved and labeled lubricants are used in the packing equipment/machinery.	10	10			R

Questions		Points	Yes	NO	N/A	Doc
3-16	Chemicals not approved for use on product are stored and segregated away from packing area.	10	10			
	<i>Chemicals are stored in the locked mechanic shops at these facilities away from production areas.</i>					
3-17	The plant grounds are reasonably free of litter and debris.	5	5			
3-18	The plant grounds are reasonably free of standing water.	5	5			
3-19	Outside garbage receptacles/dumpsters are closed or are located away from packing facility entrances and the area around such sites is reasonably clean.	5	5			
3-20	Packing facilities are enclosed.	5	5			
3-21	The packing facility interior is clean and maintained in an orderly manner.	5	5			
3-22	Floor drains appear to be free of obstructions.	5	5			
3-23	Pipes, ducts, fans and ceilings which are over food handling operations, are clean.	5	5			
3-24	Glass materials above product flow zones are contained in case of breakage.	10	10			
3-25	Possible wastewater spillage is prevented from contaminating any food handling area by barriers, drains, or a sufficient distance.	10	10			
3-26	There is a policy describing procedures which specify handling/disposition of finished product that is opened, spilled, or comes into contact with the floor.	15	15			P
	<i>All contaminated produce will be disposed of in garbage.</i>					
Questions		Points	Yes	NO	N/A	Doc
3-27	Only new or sanitized containers are used for packing the product.	10	10			D

3-28	Pallets and containers are clean and in good condition.	5	5			
3-29	Packing containers are properly stored and protected from contamination (birds, rodents and other pests).	10	10			

Pest Control

Questions		Points	Yes	NO	N/A	Doc
3-30	Measures are taken to exclude animals and pests from packing and storage facilities.	10	10			D
3-31	There is an established pest control program for the facility.	10	10			D
	<i>The pest control program is contracted through Sprague Pest Solutions.</i>					
3-32	Service reports for the pest control program are available for review.	5	5			R
	<i>Service reports are updated monthly and are available online through Sprague's website. Last report was updated on May 19, 2025, in Yakima, June 16, 2025, in Zillah, and May 13, 2025, on Borton Road.</i>					
3-33	Interior walls, floors and ceilings are well maintained and are free of major cracks and crevices.	5	5			

Traceability

Questions		Points	Yes	NO	N/A	Doc
3-34	Records are kept recording the source of incoming product and the destination of outgoing product which is uniquely identified to enable traceability.	10	10			D

Additional Comments:

3-3 continued...E. coli and Total Coliforms were not detectable for both tests.

Total Points earned for House Packing Facility =

280

Total Possible = 290

The total number of points possible for this section.

Subtract "N/A" = 10

Enter the additive number of N/A points (+points) here.

Adjusted Total = 280

Subtract the N/A points from the Total possible points

X .8 (80%)

Multiply the Adjusted Total by .8 and show it as the Passing Score

Passing Score = 224

Pass

Fail

(please mark one)

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Part 4 - STORAGE AND TRANSPORTATION

Product, Containers & Pallets

Questions	Points	Yes	NO	N/A	Doc
4-1 The storage facility is cleaned and maintained in an orderly manner.	5	5			
4-2 Bulk storage facilities are inspected for foreign material prior to use and records are maintained.	5			5	R
<i>Product stored in bins at these facilities.</i>					
4-3 Storage rooms, buildings, and/or facilities are maintained and sufficiently sealed or isolated and are protected from external contamination.	10	10			
4-4 Storage grounds are reasonably free of litter and debris.	5	5			
4-5 Floors in storage areas are reasonably free of standing water.	5	5			
4-6 Possible wastewater spillage is prevented from contaminating any food handling area by barriers, drains, or sufficient distance.	10	10			
4-7 There is a policy describing procedures which specify handling/disposition of finished product which is opened, spilled, or comes into contact with the floor.	15	15			P
<i>All contaminated produce will be disposed of in garbage.</i>					
4-8 Packing containers are properly stored and sufficiently sealed, to be protected from contamination (birds, rodents, pests, and other contaminants).	10	10			
4-9 Pallets, pallet boxes, tote bags, and portable bins, etc. are clean, in good condition and do not contribute foreign material to the product.	5	5			

Questions		Points	Yes	NO	N/A	Doc
4-10	Product stored outside in totes, trucks, bins, other containers or on the ground in bulk is covered and protected from contamination.	10			10	
	<i>Product is not stored outside at these facilities.</i>					
4-11	Non-food grade substances such as paints, lubricants, pesticides, etc., are not stored in close proximity to the product.	10	10			
	<i>All non-food grade substances are stored in mechanic shops or containers away from packing line.</i>					
4-12	Mechanical equipment used during the storage process is clean and maintained to prevent contamination of the product.	5	5			D

Pest Control

Questions		Points	Yes	NO	N/A	Doc
4-13	Measures are taken to exclude animals and pests from storage facilities.	10	10			D
4-14	There is an established pest control program for the facility.	10	10			D
	<i>The pest control program is contracted through Sprague Pest Solutions.</i>					
4-15	Service reports for the pest control program are available for review.	5	5			R
	<i>Service reports are updated monthly and are available online through Sprague's website. Last report was updated on May 19, 2025, in Yakima, June 16, 2025, in Zillah, and May 13, 2025, on Borton Road.</i>					
4-16	Interior walls, floors, and ceilings are well-maintained and are free of major cracks and crevices.	5	5			

Ice & Refrigeration

Questions		Points	Yes	NO	N/A	Doc
4-17	The water used for cooling, humidity, and/or making ice meets the microbial standards for drinking water.	15			15	R
	<i>Water is not used for cooling in shipping and storage areas.</i>					
4-18	Manufacturing, storage, and transportation facilities used in making and delivering ice used for cooling the product have been sanitized.	10			10	R
	<i>Ice is not used for product cooling at these facilities.</i>					

Questions		Points	Yes	NO	N/A	Doc
4-19	Climate-controlled rooms are monitored for temperature and logs are maintained.	5	5			D
4-20	Thermometer(s) are checked for accuracy and records are available for review.	5	5			D
	<i>WSDA oversees probe calibration yearly in between storage and records are maintained at Yakima facilities. Double Kold is contracted in Zillah and records are maintained.</i>					
4-21	Temperature control equipment condensation does not come in contact with produce.	10	10			
4-22	Refrigeration equipment (condensers, fans, etc.) and dehumidifiers are cleaned on a scheduled basis.	10	10			D
	<i>Refrigeration equipment is cleaned yearly in between produce storage seasons.</i>					
4-23	Iced product does not drip on pallets of produce stored below.	10			10	
	Ice is not used at these facilities.					

Transportation

Questions		Points	Yes	NO	N/A	Doc
4-24	Prior to the loading process, conveyances are required to be clean, in good physical condition, free from disagreeable odors and from obvious dirt/debris.	10	10			P
4-25	Produce items are not loaded with potentially contaminating products.	10	10			P
4-26	Company has a written policy for transporters and conveyances to maintain a specified temperature(s) during transit.	10	10			P
	<i>Cherries are to be maintained at 32 degrees Fahrenheit. Apples and pears will be maintained at 33 degrees Fahrenheit.</i>					
4-27	Conveyances are loaded to minimize damage to product.	5	5			P

Worker Health and Personal Hygiene

Questions		Points	Yes	NO	N/A	Doc
4-28	Employee facilities (locker rooms, lunch and break areas, etc.) are clean and located away from storage, shipping, and receiving areas.	10	10			

Questions		Points	Yes	NO	N/A	Doc
4-29	When there is a written policy regarding the use of hair/beard nets in the storage and transportation areas, it is being followed by all affected employees and visitors.	5			5	P
<i>Employees are not required to wear hair/beard nets in transportation/storage areas at these facilities.</i>						
4-30	When there is a written policy restricting the wearing of jewelry in the storage and transportation areas, it is being followed by all affected employees and visitors.	5	5			P

Traceability

Questions		Points	Yes	NO	N/A	Doc
4-31	Records are kept regarding the source of incoming product and the destination of outgoing product which is uniquely identified to enable traceability.	10	10			D

Additional Comments:

Total Points earned for Storage & Transportation = 200

Total Possible = 255 *The total number of points possible for this section.*

Subtract "N/A" = 55 *Enter the additive number of N/A points (+points) here.*

Adjusted Total = 200 *Subtract the N/A points from the Total possible points*

X .8 (80%) *Multiply the Adjusted Total by .8 and show it as the Passing Score*

Passing Score = 160

Pass **Fail** **(please mark one)**

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Part 5 - Preventive Food Defense Procedures

Based on the U.S. Food and Drug Administration's Food Producers, Processors, and Transporters:
Food Security Preventive Measure Guidance for Industry.

Secure Employee/Visitor Procedures

Questions		Points	Yes	NO	N/A	Doc
5-1	The company has a documented food defense plan and a person has been designated to oversee it.	5	5			D
	Name: <i>Leo Gonzalez, Henri Lucatero, Itzayana Chavira</i>					
5-2	Food defense training has been provided to all employees.	5	5			D
	<i>Employees are supplied food defense training information in their new hire packet and training is conducted yearly. Reviewed training record from May 5, 2025.</i>					
5-3	Employees are aware of whom in management they should contact about potential security problems/issues.	5	5			
	Name of management representative: <i>Leo Gonzalez, Henri Lucatero, Production Team</i>					
5-4	Visitors are required to check in (showing proof of identity) and out, when entering/leaving the facility.	5	5			D
5-5	The purpose of visitation to site is verified before admittance to the facility.	5	5			D
5-6	Visitors are prohibited from the packing/storage areas unless accompanied by an employee.	5	5			D
5-7	Incoming and outgoing employee and visitor vehicles to and from the site are subject to inspection.	5		5		D
	<i>Employee and visitor vehicles are not subject to inspection.</i>					
5-8	Parked vehicles belonging to employees and visitors display a decal or placard issued by the facility.	5		5		
	<i>Operation does not issue decals or placards for employee or visitor vehicles.</i>					
5-9	Staff is prohibited from bringing personal items into the handling or storage areas.	5	5			D
5-10	Staff access in the facility is limited to the area of their job function and unrestricted areas.	5	5			D

	Questions	Points	Yes	NO	N/A	Doc
5-11	Management is aware of which employee should be on the premises and the area they are assigned to.	5	5			D
5-12	A system of positive identification of employees has been established and is enforced.	5	5			
	<i>Name badges and employee numbers are issued on hire date. Employees clock in for work with their employee number and fingerprint.</i>					

Secure Facility Procedures

	Questions	Points	Yes	NO	N/A	Doc
5-13	Uniforms, name tags, or identification badges are collected from employees prior to the termination of employment.	5	5			D
5-14	The mailroom is located away from the packing/storage facilities.	5	5			
5-15	Computer access is restricted to specific personnel.	5	5			D
	<i>Salaried management and IT only have restricted computer access.</i>					
5-16	A system of traceability of computer transactions has been established.	5	5			
5-17	A minimum level of background checks has been established for all employees.	5	5			D
	<i>Borton & Sons, Inc. uses personal identification cards, social security cards and I9 forms for background check purposes.</i>					
5-18	Routine security checks of the premises are performed for signs of tampering, criminal or terrorist activity.	5	5			D
	<i>Facilities have full camera surveillance as well as a security team employed for security checks.</i>					
5-19	Perimeter of facility is secured by fencing or other deterrent.	5	5			
5-20	Checklists are used to verify the security of doors, windows, and other points of entry.	5	5			D
5-21	All keys to the establishment are accounted for.	5	5			D

5-22	The facility has an emergency lighting system.	5	5			
Questions		Points	Yes	NO	N/A	Doc
5-23	The facility is enclosed.	5	5			
5-24	Storage or vehicles/containers/trailers/railcars that are not being used are kept locked.	5	5			
5-25	Delivery schedules have been established.	5	5			
	<i>Deliveries are accepted during regular business hours or by scheduled appointment.</i>					
5-26	The off-loading of incoming materials is supervised.	5	5			
	<i>The shipping supervisor designates employees to oversee the off-loading of materials.</i>					
5-27	The organization has an established policy for rejecting deliveries.	5	5			D
5-28	Unauthorized deliveries are not accepted.	5	5			D
5-29	The company does not accept returned (empty) containers for packing of product unless they are sanitized containers intended for reuse.	5	5			D
5-30	The facility has a program in place to inspect product returned to the facility for tampering.	5	5			D
5-31	The company has identified the individual(s), with at least one backup, who are responsible for recalling the product.	5	5			D
	<i>Leo Gonzalez and Bob Grandy are currently responsible for recalling product.</i>					
5-32	The company has performed a successful mock recall of product to the facility.	5	5			D
	<i>Mock recall was performed March 27, 2025, for Pink Lady apples in Yakima at the apple warehouse and was proven to be effective.</i>					
5-33	Product imported from outside the United States is segregated from domestic product.	5	5			D
5-34	Allergens handled by the facility are segregated from products to avoid cross contamination.	5			5	D

	<i>This operation does not handle any known allergens.</i>					
Questions		Points	Yes	NO	N/A	Doc
5-35	Floor plans, product flow plans, and/or segregation charts are in a secure location.	5	5			D
5-36	The organization has registered with the FDA and has been issued a registration number (do not record the number on checklist).	5			5	D
	<i>This organization is exempt from registration with the FDA.</i>					

Additional Comments:

Total Points earned for Preventive Food Defense Procedures = 160

Total Possible = 180 *The total number of points possible for this section.*

Subtract "N/A" = 10 *Enter the additive number of N/A points (+points) here.*

Adjusted Total = 170 *Subtract the N/A points from the Total possible points*

X .8 (80%) *Multiply the Adjusted Total by .8 and show it as the Passing Score*

Passing Score = 136

Pass **Fail** **(please mark one)**

This program is intended to assess a participant’s efforts to minimize the risk of contamination of fresh fruits, vegetables, nuts and miscellaneous commodities by microbial pathogens based on the U.S. Food and Drug Administration’s “Guide to Minimize Microbial Food Safety Hazards for Fresh Fruits and Vegetables,” and generally recognized good agricultural practices.

**Good Agricultural Practices
 Audit Verification Program Scoresheet**



Company Name:	Borton & Sons, Inc.				
Street Address :	2300 Ahtanum Rd.	City, State, Zip:	Yakima, Washington 98903		
E-mail Address:	leo.gonzalez@bortonfruit.com	Phone Number:	(509) 907-0413	Date Audit Requested:	June 18, 2025
		Fax Number:	N/A	Date of Previous Audit :	June 21, 2024
Date Audit Began:	June 18, 2025	Date Audit Completed:	June 18, 2025	USDA Commodity Procurement Audit?	
Time Audit Began:	8:00 AM	Time Audit Completed:	12:00 PM	Check One	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

EVALUATION ELEMENTS

Scopes Requested	Element	Possible Points	Less N/A Points	Adjusted Points	Passing Score*	Facility Score	%	Pass Fail	Date Passed	General Questions	Reviewing Official Int.	Unannounced
✓	General Questions (Initial Audit)	180	0	180	144	180	100%	Pass	6/18/2025		PT	
	General Questions**	180	0	180	144	0						
	Part 1 – Farm Review	190	0	190	152	0						
	Part 2 – Field Harvesting & Field Packing Activities	185	0	185	148	0						
✓	Part 3 – House Packing Facility	290	10	280	224	280	100%	Pass	6/18/2025		PT	
✓	Part 4 – Storage and Transportation	255	55	200	160	200	100%	Pass	6/18/2025		PT	
✓	Part 5 – Preventive Food Defense Procedures	180	10	170	136	160	94%	Pass	6/18/2025		PT	
	Logo Use Addendum											

*A Passing Score is 80% of the Possible Points, or the Adjusted Points if adjustments are necessary, with no "automatic unsatisfactory" conditions.

** If applicable, General Questions assessed at a later date for a scope not covered during the initial audit.

Crops:	Apples, Cherries, Pears
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Lead Auditor Name (Print): **Derek Prescott** Signature & Date: **Signature on file-6/18/2025**

Duty Station: **Yakima, Washington** All Scopes Completed: **Yes**

For USDA HQ use:

Reviewing Official Name (Print): _____

Signature & Date: _____